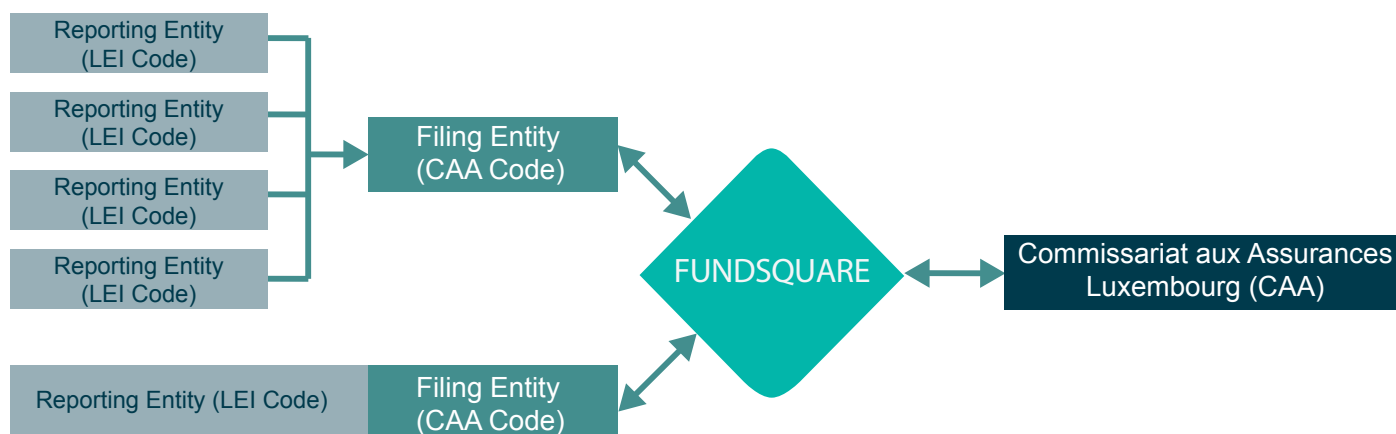


Secure regulatory transmissions for insurers with the e-file.lu platform

By the end of September, all insurers in Luxembourg will need to notify the Commissariat aux Assurances of their choice of a secure transmission channel for Solvency II regulatory reporting.

The CAA has named Fundsquare's **e-file.lu** platform as an official channel for the secure transmission of reporting between insurance and reinsurance undertakings and the CAA.

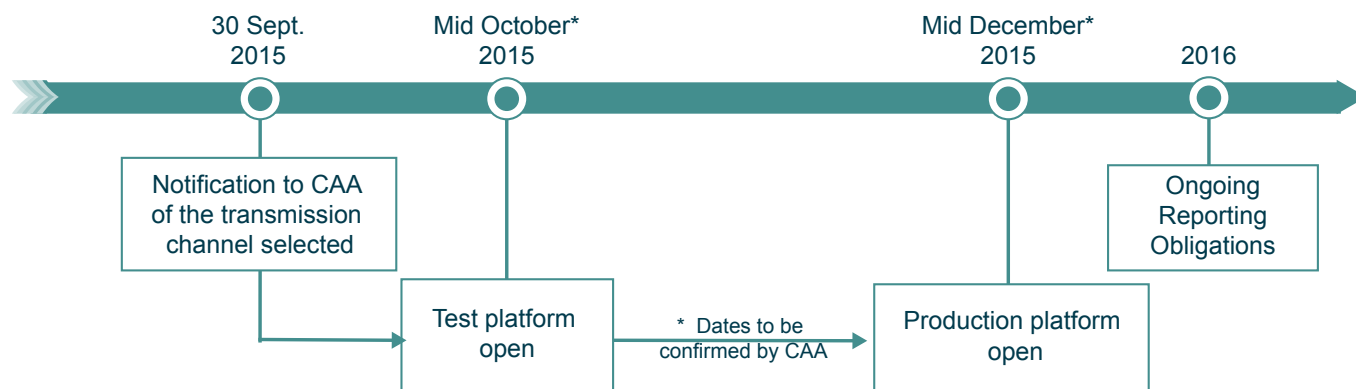


E-file.lu is a communication platform for the transmission of data, documents and regulatory and statistical reports between financial institutions (filing entities) and the Luxembourg supervisory authorities.

Fundsquare has now added a CAA reporting module to its range of e-file.lu services. This will enable reporting entities in Luxembourg (via their designated filing entities) to **efficiently send reports to the CAA**.

E-file.lu is today the leading platform for transmitting of regulatory reporting.

- ◆ **500** financial institutions and service providers are already connected to authorities via e-file.lu
- ◆ More than **1 million** regulatory reports are transmitted annually



**Proven and efficient
processes for reporting transmission**

Product features

Document Management	<ul style="list-style-type: none">• User-friendly interface that allows users to follow (via a dashboard) and submit (via an encryption module) reporting to CAA.• Alerts (via email) of new reporting requests by the CAA.
Sending Service	<ul style="list-style-type: none">• The Sending Service is our solution for automatically sending Solvency II data to the authorities.• This tool is compatible with most of the current operating systems (Windows / Unix / Linux) and is usually installed on a server on your side.• With a suitable network mapping, users can then simply copy the file to be sent in the appropriate directory.• The file is then automatically encrypted and sent.
Encrypted regulatory reports	<ul style="list-style-type: none">• Transmissions via e-file.lu platform are encrypted and authentication is done via a LuxTrust certificate. The same is already used for FATCA reporting and therefore there will be no additional cost for entities already using this module.
Acknowledgments	<ul style="list-style-type: none">• Management of feedback (technical and business) from the CAA in our interface and sending service, based on our existing models.
Naming convention for files	<ul style="list-style-type: none">• A naming convention to track (via a dashboard) all automatic transmissions, as well as the establishment of completeness checks and identification of filling and reporting entities.
Common codes	<ul style="list-style-type: none">• Reporting entities use LEI identification number (Legal Entity Identification).• Filling entities use official serial number code defined by CAA (CAA code).
Solvency II reporting	<ul style="list-style-type: none">• The official message (XBRL) for Solvency II reporting (2016) will be supported by the same e-file module (no additional cost).

Benefits at a glance

- ◆ Fulfil reporting requirements
- ◆ Easily transmit reporting to the CAA
- ◆ Securely manage transmissions
- ◆ Efficiently monitor all processes

Contacts

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